

# Cloud Segmentation, Denoising, and Compression Techniques for use on Sentinel-3 Satellite Data

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**Abstract.** Satellite images of the Earth’s surface have a multitude of uses. However, this data comes with some inherent issues. One such issue is cloud coverage. We propose the use of image segmentation to identify clouds in images. Another problem is the size of the data, which must be transferred over the satellite’s limited connection bandwidth. We propose several compression methods for reducing data size effectively. The data is of varying quality, so the use of noise removal techniques can improve the accuracy and usability of the data.

**Keywords:** Deep Learning, GANs, Super-resolution, Image Compression

## 1 Problem 1: Cloud Identification

We propose the use of K-Means clustering and automatic thresholding for identifying the portions of the image that have cloud cover.

### 1.1 K-Means Clustering

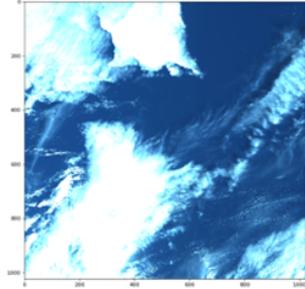
K-Means clustering is an unsupervised learning algorithm that can be used to segment data into  $K$  clusters. It is particularly useful for identifying distinct differences in images based on pixel red-green-blue (RGB) values [1]. This algorithm should prove applicable to our data since the clouds are white and typically in high contrast with the blue ocean and green land.

**Background** The K-Means clustering algorithm works by initializing  $K$  random centroids and assigning the data points to one of the centroids. The centroids are then adjusted based on the minimization of the distances of all associated data points. The function to be minimized is as follows:

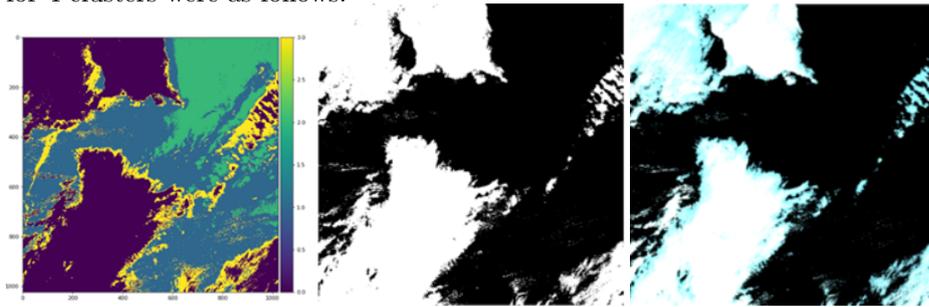
$$\sum_{i=1}^m \sum_{k=1}^K w_{ik} \|x^i - \mu_k\|^2 \quad (1)$$

where  $w_{ik}$  is 1 when data point  $x_i$  is part of cluster  $k$ , and 0 otherwise.  $\mu_k$  is the centroid of cluster  $k$ . The equation is minimized with respect to  $w_{ik}$  and  $\mu_k$  separately. This adjusts the cluster assignments and then the centroids, respectively [2].

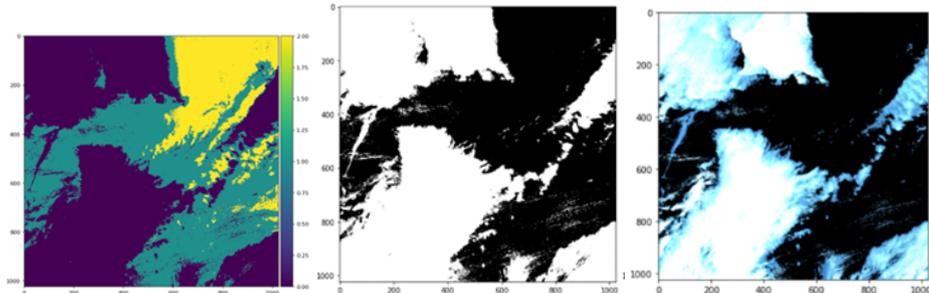
**Results** We selected the following image for an example:



Using the KMeans function of the scikit-learn python module, we performed K-Means clustering on the image for both four and three clusters. The results for 4 clusters were as follows:



The segmentation appears to cluster the clouds correctly, but four clusters appear to be too many as it is segmenting both the ocean and clouds in two. Next, we try reducing the number of segments to three:



This works better as the clouds are kept as one cluster. The ocean is still in two clusters but this is not relevant to cloud identification. Also, with three segments we have a cluster for each image section: land, oceans, and clouds. We believe this makes three segments the most effective in K-Means clustering.

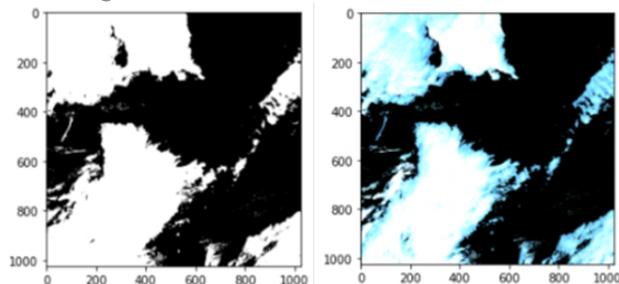
**Limitations** While K-Means clustering is effective for much of the dataset, there are some limitations. Areas with snow are hard to differentiate between clouds due to both being the same color. The K-Means clustering algorithm also does not have labels for the clusters, so it is necessary for a human to manually identify a cluster as clouds. This is undesirable since it is time-consuming.

## 1.2 Automatic Thresholding

Thresholding is simply the selection of certain pixels based on a set threshold  $t$ . Images are typically converted to grayscale and normalized from 0 to 1. Each value in the image is compared to  $t$  and we create a binary mask based on it. This can be effective in segmenting an image, but usually requires the use of a histogram to correctly set  $t$ . Instead, we can make use of automatic thresholding to set  $t$  for us [3].

The scikit-image module in python has a function that finds the threshold  $t$  using Otsu's method. This method essentially finds a value between peaks in a grayscale histogram [3].

**Results** We applied automatic thresholding to the same image and received the following results:



The image is segmented correctly into clouds and the ocean. The method is effective for a portion of the dataset.

**Limitations** This method reduces the data from RGB to grayscale. This loss in data complexity may decrease the accuracy of image segmentation. Also, the binary classification may be effective for images that are simply clouds and ocean or clouds and land, but images with all three sections may not work correctly.

## 1.3 Suggested Future Approaches

Some of the limitations of these methods can be overcome with the use of supervised learning. With labeled data, we can train a model that can specifically identify and segment clouds. However, this will require the creation of labeled data, which takes a significant amount of time. The U-Net architecture [14] has proven to be quite useful in performing image segmentation. Part of our team

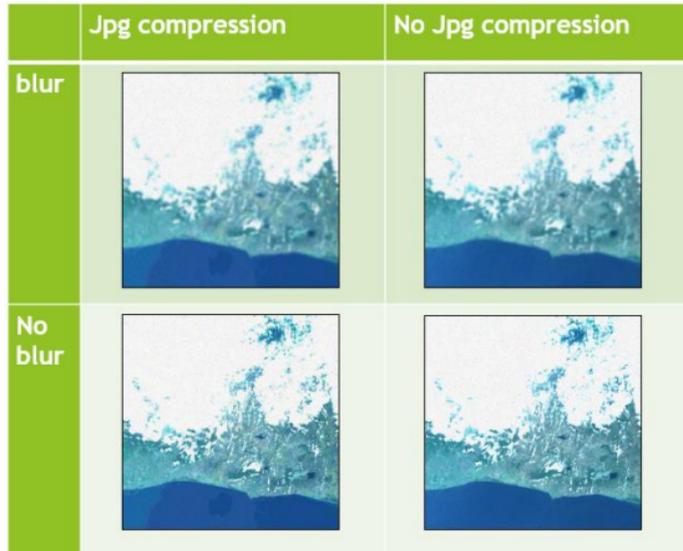
is working on implementing U-Net in MagmaDNN, a neural network framework developed at the University of Tennessee [15]. It is our ideal goal to implement U-Net with MagmaDNN for performing cloud segmentation since MagmaDNN will provide the speed to train the dataset efficiently.

## 2 Problem 2: Noise Removal

The satellite data can be of varied quality and can contain noise and degraded data. This calls for a means to reconstruct data to a state close to the ground truth.

### 2.1 Data Augmentation

To create the degraded data, we used a combination of jpg compression and Gaussian blur to downsize the image to 50% of its original size. The following shows an image downsized using the different methods:



Noise can then be added using the python module NumPy. This is done with NumPy's normal distribution function with mean 0 and standard deviation 0.1. The values are then added to the image to create noise.

### 2.2 Bicubic Resize

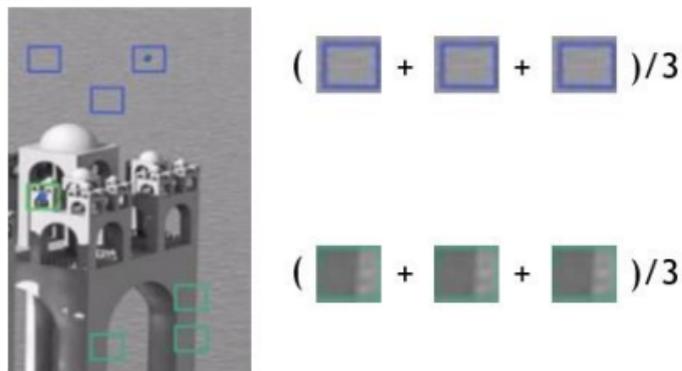
Bicubic resizing is a method that fits cubic functions to the two-dimensional image and uses those to estimate new pixel values during upscaling. We used this primarily as a control group to compare the other results. The following is the result:

	Jpg Compression	No Jpg Compression
Blur	 PSNR 22.55dB SSIM 0.4906	 PSNR 20.07dB SSIM 0.3459
No blur	 PSNR 19.90dB SSIM 0.3401	 PSNR 23.88dB SSIM 0.5419

The peak signal-to-noise ratio (PSNR) is a measure of the noise between the original and upscaled image. The higher the PSNR, the less noise there is. SSIM is a measure that focuses on the structural information of the original and reconstructed images. The SSIM value is between 0 and 1, with 1 being the most similar.

### 2.3 Non-local Means Denoising

**Background** The non-local means denoising algorithm is available in the OpenCV python module. This finds common patterns in images and averages them to remove noise.



We use the OpenCV `fastNlMeansDenoisingColored()` function for this [4].

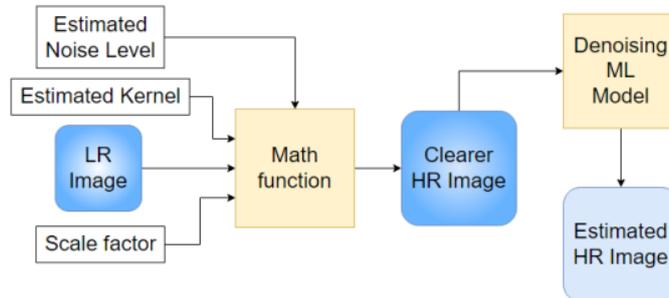
**Results :**

	Jpg Compression	No Jpg Compression
Blur	 PSNR 23.04dB SSIM 0.8045	 PSNR 20.59dB SSIM 0.7022
No blur	 PSNR 20.45dB SSIM 0.7007	 PSNR 23.46dB SSIM 0.8152

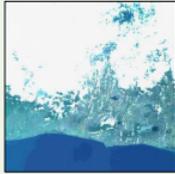
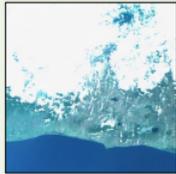
Non-local means denoising achieves an average SSIM of 0.7557, much better than the average of bicubic resizing (average SSIM of 0.4296). The algorithm is slower than bicubic resizing by about 7 times.

**2.4 USRNet**

**Background** Unfolding super-resolution network [7] (USRNet) is a neural network that takes four inputs: the low resolution (LR) image, estimated kernel, estimated noise level, and scale factor. Using these it creates a high-resolution image and then denoises that to create its predicted image [5].



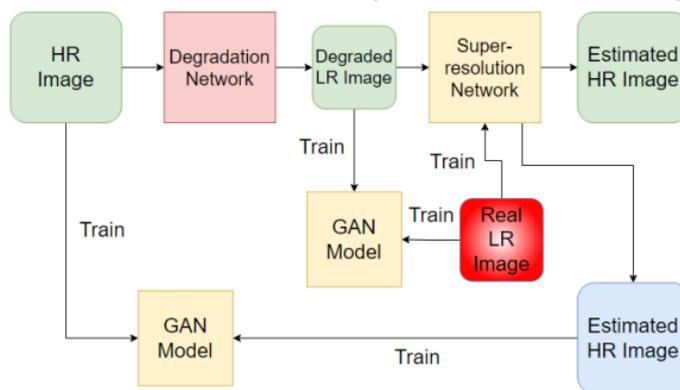
Results :

	Jpg Compression	No Jpg Compression
Blur	 PSNR 23.05dB SSIM 0.8219	 PSNR 21.35dB SSIM 0.7094
No blur	 PSNR 21.18dB SSIM 0.7073	 PSNR 24.54dB SSIM 0.8772

The USRNet achieves an even higher average SSIM of 0.7790. This comes at the cost of time since it takes about 1.6 times the time of the non-local means denoising algorithm.

## 2.5 DASR

**Background** Distance aware super-resolution [8] (DASR) is a neural network that takes one input: the low-resolution image. It also contains a degradation network that creates its own degraded low-resolution image [6].



**Results :**

	Jpg Compression	No Jpg Compression
Blur	 <p>PSNR 20.46dB SSIM 0.3621</p>	 <p>PSNR 19.00dB SSIM 0.2392</p>
No blur	 <p>PSNR 18.85dB SSIM 0.2338</p>	 <p>PSNR 23.67dB SSIM 0.5316</p>

DASR only achieves an average SSIM of 0.3417. It is also slower than USRNet, taking about 3 times longer. The model is a general model which we think could be improved if we trained it for our specific dataset. However, we did not have the time to do this.

## 2.6 Suggested Future Approaches

We would like to run these methods on an edge device, such as the Nvidia Jetson Nano [16]. The Jetson Nano has a graphics processing unit (GPU) which allows for the acceleration of the USRNet and DASR models. The Jetson Nano is a small form factor that allows for a portable processing unit. A unit, such as the Nano, could be made a part of the satellite, and perform denoising locally.

## 3 Compression

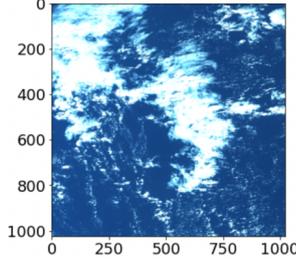
Image data can be quite large and the Sentinel-3 Satellite has limited bandwidth for transferring data. It is logical, therefore, to compress images in order to save space and transfer time. The use of lossy data compression can provide a significant decrease in data size with a small error. We propose the use of fast Fourier transform (FFT), wavelet, generative adversarial networks (GANs), and high-fidelity generative image compression (HiFiC) as compression methods.

### 3.1 FFT

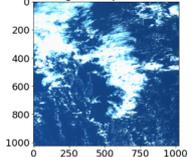
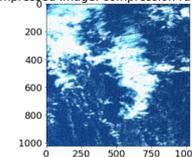
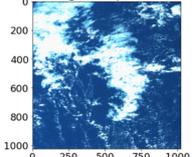
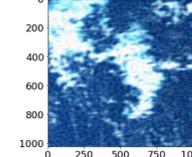
**Background** Fourier analysis is fundamentally a method for expressing a function as a sum of periodic components, and for recovering the function from those components[17]. In two dimensions, the discrete Fourier transform (DFT) is defined as:

$$A_{kl} = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} a_{mn} \exp \left\{ -2\pi i \left( \frac{mk}{M} + \frac{nl}{N} \right) \right\} \quad (2)$$

**Results** We selected the following image for an example:



2D-FFT works for two-dimensional images, that is, grayscale images, so we first split the three channels of our RGB images and apply FFT to the R, G, and B channels, respectively, and then merge the results to form the reconstructed images. To realize compression, during FFT, we keep the largest 10%, 5%, 1%, and 0.2% Fourier coefficients and truncate other Fourier coefficients that are smaller than the threshold, so we can get images with a compression ratio of 10%, 5%, 1%, 0.2% respectively. The results for compression with 4 different compression ratios were as follows:

Compressed image: compression ratio = 10.0% 	MSE: 145.2875 PSNR: 26.5085 SSIM1: 0.5402851	Compressed image: compression ratio = 1.0% 	MSE: 612.7738 PSNR: 20.2578 SSIM: 0.38167763
Compressed image: compression ratio = 5.0% 	MSE: 257.1953 PSNR: 24.0282 SSIM: 0.4774895	Compressed image: compression ratio = 0.2% 	MSE: 1035.3549 PSNR: 17.9799 SSIM: 0.38824356

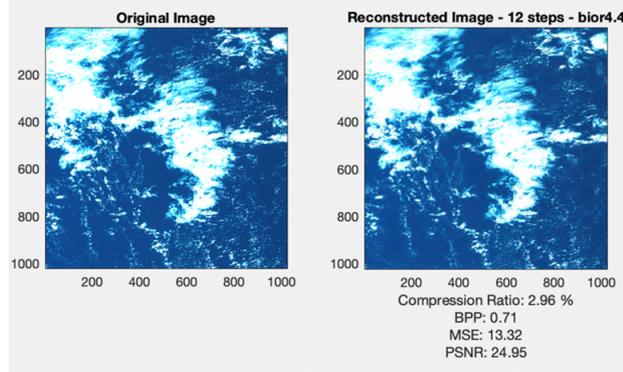
This method can get good performance with compression ratios equal to 10% and 5%, but with smaller compression ratios, the mean squared error gets much larger, and the images become much more blurry.

**Limitations** FFT can only work on one 2-dimensional matrix at a time, so when compressing RGB images, it will take a longer time than other methods.

### 3.2 Wavelet

**Background** Wavelet compression begins with a wavelet transform that produces a coefficient for each pixel. A few of these coefficients contain the majority of the data. These are used to encode the data.

**Results** 2D wavelet compression was performed using both the command line and the built-in Wavelet Analyzer App in MATLAB [11].

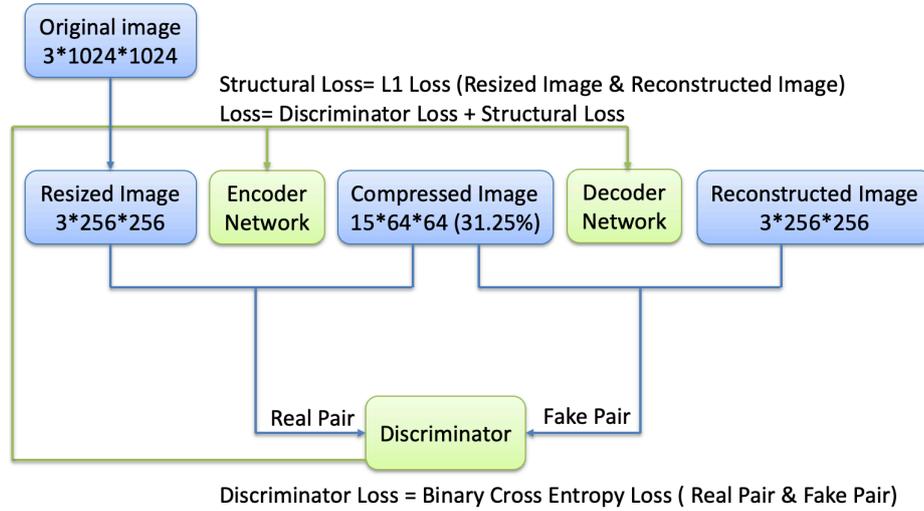


Wavelet compression was effective in bringing down the bytes per pixel (BPP) significantly from 24 to 0.71. The MSE and PSNR are still acceptable values and it is difficult to spot any differences in the image. The results are better than the FFT method and less time-consuming.

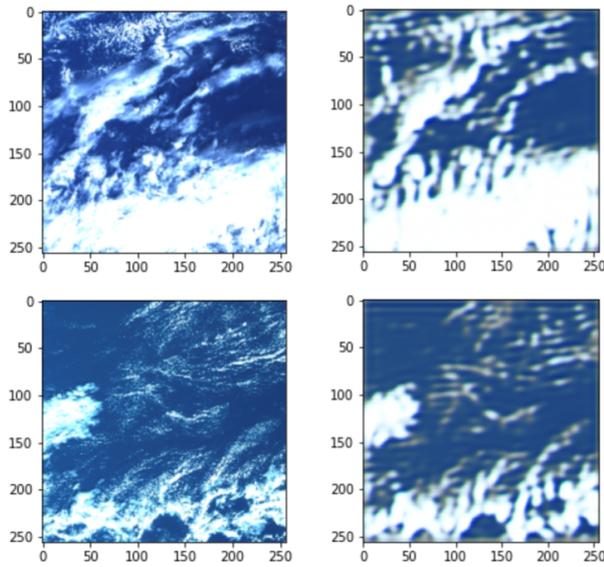
### 3.3 GAN

**Background** Generative adversarial networks contain a generator and discriminator. The generator generates the image, and the discriminator attempts to classify it as real or generated. The generator is trying to create images that the discriminator cannot tell are generated[9]. This type of network can be used with an Encoder-Decoder model to create compressed images that are similar

to the original [16].



**Results** The images produced by the GAN represented the original image but looked somewhat blurry.

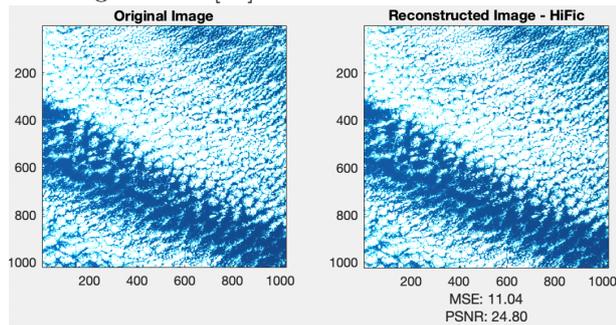


**Limitations** Training such GAN model is time-consuming and requires a large amount of training data as input. Currently, we have only trained 20 epochs for 880 images, and the results are not very satisfactory. At the same time, because the satellite images are different from the usual images, the graininess is stronger and the colors of the images are jumpier, so it is also more difficult to train the neural network.

### 3.4 HiFiC

**Background** HiFiC is a GAN-based image compression tool, which has been trained to perform image compression about four times better than JPEG. The pre-trained models are freely available to download or open via Google Colab directly. The HiFiC model can achieve 0.237 BPP [12].

**Results** In our testing we achieved a BPP of 0.5798 using the HiFiC example on Google Colab [13].



The reconstructed image's MSE and PSNR are only slightly worse than the wavelet compression while saving significantly more space. The reconstructed image shows virtually no visual difference from the original.

### 3.5 Conclusion

So far, among the four methods, we have tried, FFT, wavelet, GAN, and HiFiC, both wavelet and HiFiC have achieved good results. For GAN, we also believe it can achieve better image compression in the subsequent improvement process.

### 3.6 Suggested Future Approaches

In the future, we would custom train the HiFiC for our dataset so we can achieve better compression. These networks could also be run on a Jetson Nano. This could allow for a portable compression device that could be located where it is most needed. If possible, compression could even be performed on the satellite to reduce bandwidth needs.

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